

Effective on 02/07/06

FEE TRANSMITTAL SHEET

Complete if Known

Application No.	10/500,395
Filing Date	December 27, 2002 June 28, 2006
First Inventor	David Chow
Group Art Unit	3732
Examiner Name	Nicholas W. Woodall
Atty. Docket Number	187035/US/3

☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

METHOD OF PAYMENT (Check One)

☒ Check ☐ Credit Card ☐ Money Order☐ Deposit Account No.: 04-1420

Deposit Account Name: DORSEY & WHITNEY LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge any additional fees or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17☒ Credit any overpayments☐ Other (please identify):

Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total	- 20	=	x \$	= \$
Ind.	- 3	=	x \$	= \$
Multiple Dependent Claims		=	x \$	= \$
Total (Extra Claims)				\$

Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ Petition Fee under 37 CFR 1.17(f) **Fee \$400**
- § 1.53(e) to accord a filing date.
- § 1.57(a) to accord a filing date.
- § 1.182 for decision on a question not provided for.
- § 1.183 to suspend the rules.
- § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
- § 1.174(b) to accord a filing date to an application under § 1.740 for extension of patent term.
- ☐ Petition Fee under 37 CFR 1.17(g) **Fee \$200**
- § 1.12 for access to an assignment record.
- § 1.14 for access to an application.
- § 1.47 for filing by other than all inventors or person not the inventor.
- § 1.59 for expungement of information.
- § 1.103(a) to suspend action in an application.
- § 1.136(b) for review of a request for ext. of time when § 1.136(a) not avail.
- § 1.295 for review of refusal to publish a statutory invention registration.
- § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
- § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
- § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
- § 5.12 for expedited handling of foreign filing license.
- § 5.15 for changing the scope of a license.
- § 1.5.25 for retroactive license.
- ☐ Petition Fee under 37 CFR 1.17(h) **Fee \$130**
- § 1.19(g) to request documents in a form other than provided in this part.
- § 1.84 for accepting color drawings or photographs.
- § 1.91 for entry of a model or exhibit.
- § 1.102(d) to make an application special.
- § 1.136(c) to expressly abandon an application to avoid publication.
- § 1.313 to withdraw an application from issue.
- § 1.314 to defer issuance of a patent.

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	180
40	40	Recording each patent assignment per property (times number of properties)	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1,020	510	Extension for reply within third month	
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	
790	395	Submission After Final 1.129	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
130	65	Terminal Disclaimer Fee	
1,400	700	Utility & Reissue Issue Fee	
800	400	Design Issue Fee	
790	395	Request for Continued Examination (RCE)	
300		Request for voluntary publication or republication	
500	250	Petition to Revive - unavoidable	
1,500	750	Petition to Revive - unintentional	
200		Filing for patent term adjustment	
400		Request for reinstatement of term reduced	
1,120		Extension of term of patent	

OTHER FEE
(specify)

Subtotal (Additional Fees)

Total Amount of Payment: 180

Submitted by:

CUSTOMER NUMBER

25763

DORSEY & WHITNEY LLP

Name: Kenneth E. Levitt

Phone No.: (612) 340-2755

Fax No.: (612) 340-8856

Signature:

Reg. No.: 39,747

Date: 7/31/2007



7(2)
/

Docket: 187035/US/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	David Chow	Confirmation No.: 9017 Examiner: Nicholas W. Woodall Group Art Unit: 3733
Application No.:	10/500,395	
Filing Date:	June 28, 2004	
Title:	Bone Fasteners and Method for Stabilizing Vertebral Bone Facets Using the Bone Fasteners	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(C) AND (E)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31 day of July, 2007.

John D. [Signature]
(Signature)

Dear Sir:

Pursuant to 37 CFR § 1.97(c), the references listed on the attached Form PTO/SB/08A are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This Supplemental Information Disclosure Statement ("SIDS") is filed on or before the mailing date of a final office action or a notice of allowance and is accompanied by the fee set forth in 37 CFR § 1.17(p).

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed Form PTO/SB/08A.

Pursuant to the U.S. Patent and Trademark Office's July 11, 2003 waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(ii), only copies of foreign or other documents are provided. Copies of the U.S. patent references are not provided.

By this submission Applicant does not admit that such documents constitute prior art. Applicant reserves the right to show, pursuant to 37 C.F.R. 1.131 or otherwise, that any of the

identified patents and publications, and any referenced in the present application are not prior art with respect to the present application.

Payment of Fee Under 37 CFR § 1.17(p)

This Supplemental Information Disclosure Statement is being submitted with a required fee under 37 CFR § 1.17(p), required for submission of an IDS under 37 CFR § 1.97(c). It is believed that no additional fees are due in connection with this filing. However, the Commissioner is authorized to charge any additional fees, including extension fees or other relief which may be required, or credit any overpayment, to Deposit Account No. 04-1420.

Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: 7/21/07

By: Kenneth E. Levitt
Kenneth E. Levitt, Reg. No. 39,747
(612) 340-2755

Substitute for form 1449/PTO

AUG 06 2007

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/500,395
Filing Date	June 28, 2004
First Named Inventor	David Chow
Art Unit	3732
Examiner Name	Nicholas W. Woodall

Sheet	1	of	2	Attorney Docket Number	187035/US/3
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U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,739,773	6-1973	Schmitt et al.	
		US- 4,430,760	2-1984	Smestad	
		US- 4,440,750	4-1984	Glowacki et al.	
		US- 4,472,840	9-1984	Jefferies	
		US- 4,627,853	12-1986	Campbell et al.	
		US- 4,824,939	4-1989	Simpson	
		US- 4,932,973	6-1990	Gendler	
		US- 5,001,169	3-1991	Nathan et al.	
		US- 5,053,049	10-1991	Campbell	
		US- 5,073,373	12-1991	O'Leary et al.	
		US- 5,092,887	3-1992	Gendler	
		US- 5,112,354	5-1992	Sires	
		US- 5,290,558	3-1994	O'Leary et al.	
		US- 5,298,254	3-1994	Prewett et al.	
		US- 5,306,304	4-1994	Gendler	
		US- 5,314,476	5-1994	Prewett et al.	
		US- 5,507,813	4-1996	Dowd et al.	
		US- 5,510,396	4-1996	Prewett et al.	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sent completed form to: **Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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Examiner Name	Nicholas W. Woodall
Attorney Docket Number	187035/US/3

Sheet	2	of	2
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FOREIGN PATENT DOCUMENTS

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
		EP	0 413 492 A2	2-20-1991	Osteotech, Inc.		<input type="checkbox"/>	<input type="checkbox"/>
		EP	0 419 275 B1	1-25-1995	Osteotech, Inc.		<input type="checkbox"/>	<input type="checkbox"/>
		EP	0 483 944 B1	7-5-1995	Gendler, El		<input type="checkbox"/>	<input type="checkbox"/>
		EP	0 495 284 A1	7-22-1992	Osteotech, Inc.		<input type="checkbox"/>	<input type="checkbox"/>
		EP	0 555 807 A1	8-18-1993	Matsumoto Dental College		<input type="checkbox"/>	<input type="checkbox"/>
		GB	2 175 807 A	12-10-1986	American Hospital Supply Corporation		<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
		Glowacki et al., "Application of the Biological Principle of Induced Osteogenesis for Craniofacial Defects", <i>The Lancet</i> , Vol. 1, No. 8227, pp. 959-962, May 2, 1981.	<input type="checkbox"/>	<input type="checkbox"/>
		Kiviranta et al., "The Rate of Calcium Extraction During EDTA Decalcification from Thin Bone Slices as Assessed with Atomic Absorption Spectrophotometry", <i>Histochemistry</i> 68, pp. 119-127, 1980.	<input type="checkbox"/>	<input type="checkbox"/>
		Covey et al., "Clinical Induction of Bone Repair With Demineralized Bone Matrix or a Bone Morphogenetic Protein", <i>Orthopaedic Review</i> , Vol. XVIII, No. 8, pp. 857-863 (Aug. 1989).	<input type="checkbox"/>	<input type="checkbox"/>
		Habal et al., "Autologous Corticocancellous Bone Paste for Long Bone Discontinuity Defects: An Experimental Approach", <i>Annals of Plastic Surgery</i> , Vol. 15, No. 2, pp. 138-142, (Aug. 1985).	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

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Sent completed form to: **Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450**